# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# Form 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of October 2024

Commission File Number: 001-34936

# $\underset{(Registrant's \ name)}{\textbf{Noah}} \ \underset{(Registrant's \ name)}{\textbf{Limited}}$

No. 1226, South Shenbin Road, Minhang District, Shanghai, People's Republic of China +86 (21) 8035-8292

(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F ⊠ Form 40-F □

EXPLANATORY NOTE
We submitted to The Stock Exchange of Hong Kong Limited a monthly return form dated October 8, 2024 in relation to the movements in our authorized share capital and issued shares in September 2024. For details, please refer to Exhibit 99.1 to this current report on Form 6-K.

# EXHIBIT INDEX

Exhibit 99.1 Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in Securities

# SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

# Noah Holdings Limited

By: Name: Title:

/s/ Qing Pan Qing Pan Chief Financial Officer

Date: October 8, 2024

FF301

HKEX

# Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in Securities

For the month ended: 30 September 2024 Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer: Noah Holdings Private Wealth and Asset Management Limited

Date Submitted: 08 October 2024

# I. Movements in Authorised / Registered Share Capital

1. Class of shares	Ordinary shares		Type of shares	Not appli	icable		Listed on the Exchang	ge (Note 1)	Yes	
Stock code (if listed)	06686		Description		,			12		
		Number o	f authorised/registered	d shares		Par value	9	Aut	horised/register	red share capital
Balance at close of preceding	g month		1,000	,000,000	USD	Ŷ.	0.00005	USD		50,000
Increase / decrease (-)						13		USD		
Balance at close of the mont	h		1,000	,000,000	USD		0.00005	USD		50,000

Total authorised/registered share capital at the end of the month: USD 50,000

Page 1 of 10 v 1.1.0

# II. Movements in Issued Shares and/or Treasury Shares

1. Class of shares	Ordinary shares	3	Type of shares	Not app	olicable	Listed on the Exchan	ge (Note 1)	Yes	
Stock code (if listed)	06686		Description				19		
			of issued shares g treasury shares)		Number of treasury	y shares	То	tal number of	issued shares
Balance at close of preceding	month		330,7	26,723		0			330,726,723
Increase / decrease (-)						0			
Balance at close of the month	1		330,7	26,723		0			330,726,723

Page 2 of 10 v 1.1.0

### III. Details of Movements in Issued Shares and/or Treasury Shares

### (A). Share Options (under Share Option Schemes of the Issuer)

1. 0	class of shares	Ordinary shares	[	Type of shares	١	Not applicabl	e	Listed on the Excha	nge (Note 1)		Yes		
Sto	ck code (if listed)	06686		Description		10		Ø	St. 70		-		
Parti	culars of share option scheme	Number of share options outstanding at close of preceding month	i	Movement during the m	nonth		Number of share options outstanding at close of the month	Number of new shares issued during the month pursuant thereto (A1)	Number of treasury shares transferred out of treasury during the month pursuant thereto (A2)	may be transfe treasur thereto a	shares which issued or resolut of pursuant s at close of month	The total numbineshares which maissued or transferroof treasury up exercise of all significant to be grained and the schenic close of the more shares.	ay be red out on hare anted ne at
1).	2017 Share Incentive Plan - share options	8,750					8,750				87,500		0
Gen	eral Meeting approval date (if app	olicable)											
2).	2022 Share Incentive Plan - share options	0					0				0	18,3	345,305
Gen	eral Meeting approval date (if ap	plicable) 16 December	2022										
			Increase in	n issued shares (e	exclud	ding treasury	shares):		Ordinary sł	nares (A/	(1)		

	9	
Decrease in treasury shares:	<u> </u>	Ordinary shares (AA2)
Total funds raised during the month from exercise of options:		

### Remarks:

(1) No further options will be granted under the 2017 Share Incentive Plan of the Company after December 23, 2022 (being the date on which the Primary Conversion became effective) as it has been terminated. As no share options are outstanding under the 2010 Share Incentive Plan of the Company, which was also terminated on December 23, 2022, no new shares will be further issued under such plan.

(2) The scheme mandate limit under the 2022 Share Incentive Plan is 3,000,000 ordinary shares (30,000,000 ordinary shares after share subdivision), which shall be applicable to options (as set out above) and share appreciation rights and Other Awards (as set out in Section III(D) of this monthly return) of the Company. The information under "The total number of shares which may be issued or transferred out of treasury upon exercise of all share options to be granted under the scheme at close of the month" represents the maximum number of the shares may be issued upon exercise of all share options to be granted under the 2022 Share Incentive Plan at close of the month.

Page 3 of 10 v 1.1.0

(B). Warrants to Issue Shares of the Issuer

Not applicable

Page 4 of 10 v 1.1.0

(C). Convertibles (i.e. Convertible into Shares of the Issuer)

Not applicable

Page 5 of 10 v1.1.0

# (D). Any other Agreements or Arrangements to Issue Shares of the Issuer, including Options (other than Share Option Schemes)

1. C	class of shares	Ordinary shares	Type of shares	Not applicable		Listed on the Ex	change (Note 1)	Yes		
Sto	ck code (if listed)	06686	Description							
		n of other agreements or arrangements		General Meeting approval date (if applicable)		hares issued during suant thereto (D1)	Number of treasury shares transferred out of treasury during the month pursuant thereto (D2)	Number of shares or transferred out thereto as at ci	of treasury pur	rsuant
1).	2017 Share Incentive Plan - othe					0	0			0
2).	2022 Share Incentive Plan - other	er than share options		16 December 2022	·	0	0		7,5	28,580
3).	RSU Plan					0	0		22,5	80,412

		1						
	Increase in issued shares (excl	uding treasury sh			0	Ordinary shares (D	304.5 2023	
	narks:	5000	-			22.0 35	562	
201 are	No further awards will be granted under the 2017 Share Incentive Plan of the C 7 Share Incentive Plan has been terminated. Certain number of shares have b expected to be utilized to satisfy the awards granted under the 2017 Share Inc tre Incentive Plan.	een issued befor	e the date or	n which Primary Conv	version bed	ame effective. Such	issued shares h	nave been and
colle	Under the 2022 Share Incentive Plan, the option, share appreciation rights, re- ectively referred to as the "Awards". The Awards other than the option and the ular of the Company dated November 14, 2022.							
	The scheme mandate limit under the 2022 Share Incentive Plan is 3,000,000 o in Section III(A) of this monthly return), share appreciation rights and Other Aw			rdinary shares after s	share subdi	vision), which shall b	e applicable to	options (as set
	RSU Plan refers to the restricted shares units that the Company has issued to spectus of the Company dated June 30, 2022.	certain clients wh	o accepted :	settlement plans rela	ting to Cam	sing Incident, the de	tails of which m	ay refer to the

Page 6 of 10 v 1.1.0

(E). Other Movements in Issued	Shares and/or Treasury Shares
--------------------------------	-------------------------------

Not applicable

Total increase/ decrease (-) in issued shares (excluding treasury shares) during the month (i.e. Total of AA1 to EE1):	Ordinary s	hares
Total increase/ decrease (-) in treasury shares during the month (i.e. Total of AA2 to EE2):	Ordinary s	hares

Page 7 of 10 v1.1.0

IV. Information about Hong Kong Depositary Receipt (HDR) Not applicable

Page 8 of 10 v 1.1.0

### V. Confirmations

Pursuant to Main Board Rule 13.25C / GEM Rule 17.27C, we hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued, or the treasury shares sold or transferred by the issuer during the month as set out in Parts III and IV which has not been previously disclosed in a return published under Main Board Rule 13.25A / GEM Rule 17.27A, it has been duly authorised by the board of directors of the listed issuer and carried out in compliance with all applicable listing rules, laws and other regulatory requirements and, insofar as anolicable:

(Note 4)

- (i) all money due to the listed issuer in respect of the issue of securities, or sale or transfer of treasury shares has been received by it;
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 5);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with all other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue, sale or transfer;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

Submitted by:	ingbo Wang				
Title:	Director				
	(Director, Secretary or other Duly Authorised Officer)				

Page 9 of 10 v1.1.0

#### Notes

- 1. The Exchange refers to The Stock Exchange of Hong Kong Limited.
- In the case of repurchase of shares (shares repurchased and cancelled) and redemption of shares (shares redeemed and cancelled), "date of event" should be construed as
  "cancellation date"

In the case of repurchase of shares (shares held as treasury shares), "date of event" should be construed as "date on which shares were repurchased and held by the issuer in treasury."

- The information is required in the case of repurchase of shares (shares repurchased for cancellation but not yet cancelled) and redemption of shares (shares redeemed but not yet cancelled). Please state the number of shares repurchased or redeemed during the month or in preceding month(s) but pending cancellation as at close of the month as a negative number.
- 4. Items (i) to (viii) are suggested forms of confirmation. The listed issuer may amend the item(s) that is/are not applicable to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under Main Board Rule 13.25A / GEM Rule 17.27A in relation to the securities issued, or the treasury shares sold or transferred, no further confirmation is required to be made in this return.
- "Identical" means in this context:
  - . the securities are of the same nominal value with the same amount called up or paid up;
  - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
  - · they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.

Page 10 of 10 v1.1.0